#### PCT/EP2004/004233

# JC20 Rec'd PCT/PTO 21 OCT 2005 Powderous coating material composition

The invention relates to the use of a powderous coating material composition for coating ink-jet recording materials.

Polyvinyl alcohols are used in papercoating slips as cobinders in order to enhance the gloss and whiteness of the papercoating. Coats on paper, especially for ink-jet papers, are exposed to a high mechanical load. The coatings are therefore required to have high abrasion resistance. Moreover, the formulation must ensure effective ink absorption, without the ink drop running.

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From DE-A 10232666 it is known that silane-containing polyvinyl alcohols are high-grade binders for coating slips. In DE-A 3519575 a combination of silane-modified polyvinyl alcohol and colloidal silica is recommended as coating material for producing a covering coat for heat-sensitive recording paper. EP-A 1080940 describes a water-resistant coating material composition comprising silane-functional polyvinyl alcohol and titanium chelate complex.

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JP-A 10-119430 coats heat-sensitive recording materials using an aqueous solution comprising silane-modified polyvinyl alcohol, an isobutylene-maleic anhydridemaleimide terpolymer, metal salt, and crosslinker. The silane-modified polyvinyl alcohol а papercoating agent is also recommended in US-A 4,617,239, the silanized polyvinyl alcohol being used in an alkaline aqueous solution in combination if polymer appropriate with an aqueous latex. From EP-A 1127706 an ink-jet recording material is known which is coated with a mixture of an aqueous solution of a silanized polyvinyl alcohol, an aqueous polymer latex, and a pigment dispersion.

employed.

A disadvantage of the prior art mixtures is the often inadequate storage stability of the polyvinyl alcohol solutions. When mixtures of polyvinyl alcohol solutions and polymer dispersions are employed it is often not

PCT/EP2004/004233

and polymer dispersions are employed it is often not possible to achieve the desired end concentration, owing to the sharp viscosity rise when relatively highly concentrated solutions or dispersions are

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An object which existed, therefore, was that of providing a coating material composition for producing coatings on ink-jet recording materials, with which, on both paper-based and polymeric substrate materials, abrasion-resistant coatings are obtained; with which an unwanted rise in viscosity during preparation can be avoided; and with which a greater flexibility is achieved in terms of the solids content of the coating slip.

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The invention provides for the use of a powderous coating material composition for coating ink-jet recording materials, comprising

- a) one or more powderous, silane-containing polyvinyl 25 alcohols based on fully or partly hydrolyzed vinyl ester copolymers having a degree of hydrolysis of 75 to 100 mol%, and
  - b) one or more water-redispersible polymer powders based on homopolymers or copolymers of one or more monomers from the group consisting of vinyl esters of unbranched or branched alkylcarboxylic acids having 1 to 15 carbon atoms, methacrylic esters and acrylic esters of alcohols having 1 to 15 carbon atoms, vinyl aromatics, olefins, dienes, and vinyl halides.

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The silane-containing polyvinyl alcohols based on fully or partly hydrolyzed vinyl ester copolymers having a degree of hydrolysis of 75 to 100 mol% are obtainable

by means of free-radical addition polymerization of one or more vinyl esters of unbranched or branched alkylcarboxylic acids having 1 to 15 carbon atoms and of one or more silane-containing, ethylenically unsaturated monomers, and also, if desired, further comonomers copolymerizable therewith, and hydrolysis of the resultant polymers.

Suitable silane-containing polyvinyl alcohols are fully 10 or partly hydrolyzed vinyl ester polymers having a degree of hydrolysis of 75 to 100 mol% and a silanecontaining comonomer unit fraction of 0.01 to 10 mol%. The fully hydrolyzed vinyl ester polymers have a degree of hydrolysis of preferably 97.5 to 100 mol%, more 15 preferably 98 to 99.5 mol%. The partially hydrolyzed polyvinyl esters have a degree of hydrolysis preferably 80 to 95 mol%, more preferably 86 90 mol%. The Höppler viscosity (according to DIN 53015, as a 4% strength by weight aqueous solution) serves as 20 a measure of the molecular weight and of the degree of polymerization of the partially or fully hydrolyzed, silanized vinyl ester polymers, and is preferably from 2 to 50 mPas.

- Suitable vinyl esters are vinyl esters of unbranched or branched carboxylic acids having 1 to 15 carbon atoms. Preferred vinyl esters are vinyl acetate, vinyl propionate, vinyl butyrate, vinyl 2-ethylhexanoate, vinyl laurate, vinyl pivalate, and vinyl esters of  $\alpha$ -30 branched monocarboxylic acids having 5 to 13 carbon atoms, an example being VeoVa9<sup>R</sup> or VeoVa10<sup>R</sup> (trade names of Shell). Particular preference is given to vinyl acetate.
- Suitable ethylenically unsaturated, silane-containing monomers are, for example, ethylenically unsaturated silicon compounds of the general formula  $R^1SiR^2_{0-2}(OR^3)_{1-3}$ ,  $R^1$  having the definition  $CH_2=CR^4-(CH_2)_{0-3}$

or  $CH_2=CR^4CO_2(CH_2)_{1-3}$ ,  $R^2$  having the definition  $C_1$  to  $C_3$  alkyl radical,  $C_1$  to  $C_3$  alkoxy radical or halogen, preferably Cl or Br,  $R^3$  being an unbranched or branched, optionally substituted alkyl radical having 1 to 12 carbon atoms, preferably 1 to 3 carbon atoms, or being an acyl radical having 2 to 12 carbon atoms, it being possible for  $R^3$  to be interrupted if appropriate by an ether group, and  $R^4$  being H or  $CH_3$ .

- Further suitable ethylenically unsaturated, 10 containing monomers are silane group comprised meth(acrylamides), of the general  $CH_2=CR^5-CO-NR^6-R^7-SiR^8_m-(R^9)_{3-m}$ , m being 0 to 2,  $R^5$  being either H or a methyl group, R<sup>6</sup> being H or an alkyl group having 1 to 5 carbon atoms; R<sup>7</sup> is an alkylene 15 group having 1 to 5 carbon atoms or a divalent organic group in which the carbon chain is interrupted by an oxygen or nitrogen atom, R<sup>8</sup> is an alkyl group having 1 to 5 carbon atoms, R<sup>9</sup> is an alkoxy group having 1 to 40 carbon atoms, which may be substituted by further 20 heterocycles. In monomers in which 2 or more R5 or R9 groups occur, these groups may be identical different.
- 25 Examples of such (meth)acrylamido-alkylsilanes are the following: 3-(meth)acrylamido-propyltrimethoxysilanes, 3-(meth)acrylamido-propyltriethoxysilanes, 3-(meth)acrylamidopropyltri( $\beta$ -methoxyethoxy) silanes, 2-(meth)acrylamido-2-methylpropyltrimethoxysilanes, 2-(meth)acrylamido-2-30 methylethyltrimethoxysilanes, N-(2-(meth)acrylamidoethyl)aminopropyltrimethoxysilanes, 3-(meth)acrylamidopropyltriacetoxysilanes, 2-(meth)acrylamido-ethyltrimethoxysilanes, 1-(meth)acrylamido-methyltrimethoxy-35 silanes, 3-(meth)acrylamido-propylmethyldimethoxysilanes, 3-(meth)acrylamido-propyldimethylmethoxysilanes, 3-(N-methyl-(meth)acrylamido-propyltrimethoxy-

silanes, 3-((meth)acrylamido-methoxy)-3-hydroxypropyl-

trimethoxysilanes, 3-((meth)acrylamido-methoxy)-propyl-trimethoxysilanes, N,N-dimethyl-N-trimethoxysilyl-propyl-3-(meth)acrylamido-propylammonium chloride, and N,N-dimethyl-N-trimethoxysilylpropyl-2-(meth)acryl-amido-2-methylpropylammonium chloride.

Preferred ethylenically unsaturated, silane-containing γ-acryloyloxyand y-methacryloyloxymonomers are propyltri(alkoxy)silanes, α-methacryloyloxy-10 methyltri(alkoxy)silanes, γ-methacryloyloxypropylmethyldi(alkoxy)silanes; vinylsilanes such vinylalkyldi(alkoxy)silanes and vinyltri(alkoxy)silanes, examples of groups which can be used as alkoxy being methoxy, ethoxy, methoxyethylene, groups 15 ethoxyethylene, methoxypropylene glycol ether and/or ethoxypropylene glycol ether radicals. Examples of silane-containing 3 – preferred monomers are methacryloyloxypropyltrimethoxysilane, 3-methacryloyloxypropylmethyldimethoxysilane, vinyltrimethoxysilane, vinylmethyldimethoxysilane, vinyltriethoxysilane, 20 vinyltripropoxysilane, vinylmethyldiethoxysilane, vinyltriisopropoxysilane, vinyltris(1-methoxy)vinyltributoxysilane, vinylisopropoxysilane, triacetoxysilane, methacryloyloxymethyltrimethoxy-25 silane, 3-methacryloyloxypropyl-tris(2-methoxyethoxy)vinyltrichlorosilane, vinylmethyldichlorosilane, silane, vinyltris(2-methoxyethoxy)silane, trisacetoxyvinylsilane, allylvinyltrimethoxysilane, allyltrivinylacetoxysilane, vinyldimethylmethoxysilane, 30 dimethylethoxysilane, vinylmethyldiacetoxysilane, vinyldimethylacetoxysilane, vinylisobutyldimethoxysilane, vinyltriisopropyloxysilane, vinyltributoxysilane, vinyltrihexyloxysilane, vinylmethoxydihexoxysilane, vinyltrioctyloxysilane, vinyldimethoxyoctyloxy-35 silane, vinylmethoxydioctyloxysilane, vinylmethoxydilauryloxysilane, vinyldimethoxylauryloxysilane, also polyethylene glycol-modified vinylsilanes.

and

N-vinyl-

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imidazole.

Most-preferred ethylenically unsaturated, silanevinyltrimethoxysilane, containing monomers are vinyltriethoxysilane, vinylmethyldimethoxysilane, vinylmethyldiethoxysilane, vinyltris(1-methoxy)isomethacryloyloxypropyl-tris(2-5 propoxysilane, 3-methacryloyloxypropylmethoxyethoxy) silane, 3-methacryloyloxypropylmethyltrimethoxysilane, dimethoxysilane, and methacryloyloxymethyltrimethoxysilane and also mixtures thereof, or mixtures of two or group consisting of 10 silanes from the methacryloyloxypropyltrimethoxysilane, methacryloyloxymethyltrimethoxysilane, vinyltrimethoxysilane, and vinyltriethoxysilane.

15 The ethylenically unsaturated, silane-containing monomers are copolymerized in an amount of 0.01 to 10.0 mol%, preferably 0.01 to 1.0 mol%.

Besides the silane-containing monomers it is also 20 possible for one or more further comonomers to be copolymerized:

isopropenyl acetate, 1-alkylvinyl esters such as ethylene, propylene, isobutylene, butadiene, isoprene, chloroprene, styrene,  $\alpha$ -methylstyrene, vinyl chloride, vinylidene chloride, vinyl fluoride, acrylonitrile, methacrylonitrile; alkyl vinyl ethers such as ethyl vinyl ether, n-butyl vinyl ether, isobutyl vinyl ether, ether, cyclohexyl vinyl tert-butyl vinyl ether, ether, hydroxybutyl vinyl octadecyl vinyl ether, cyclohexanedimethanol monovinyl ether; vinyl methvl ketone, N-vinylformamide, N-vinyl-N-methylacetamide, N-

Also suitable are acrylic acid and methacrylic acid and their esters and amides such as methyl (meth)acrylate, ethyl (meth)acrylate, n-butyl (meth)acrylate, isobutyl (meth)acrylate, ethylhexyl (meth)acrylate, benzyl (meth)acrylate, 2-hydroxyethyl (meth)acrylate,

vinylcaprolactam, N-vinylpyrrolidone,

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hydroxypropyl (meth)acrylate, n-hexyl (meth)acrylate, (meth)acrylate, isodecyl isooctyl (meth)acrylate, (meth)acrylate, methoxyethyl (meth)acrylate, lauryl phenoxyethyl (meth)acrylate, isobornyl (meth)acrylate, stearyl (meth)acrylate, cyclohexyl (meth)acrylate,  $\alpha$ chloroacrylic esters and  $\alpha$ -cyanoacrylic esters. are vinylcarbazole, vinylidene Further examples vinyl esters, acrylic anhydride, cyanide, anhydride, maleic and fumaric esters, sulfonic acid-2-acrylamido-2monomers such as modified methylpropanesulfonic acid and the alkali metal salts cationic monomers such as trimethyl-3-(1thereof, (meth)acrylamido-1,1-dimethylpropyl)ammonium chloride,

15 chloride, 1-vinyl-2-methylimidazole, and the quaternized compounds thereof.

trimethyl-3-(1-(meth)acrylamidopropyl)ammonium

silane-containing vinyl ester polymers can The in a known way by means of addition prepared preferably by bulk polymerization, 20 polymerization; emulsion polymerization, suspension polymerization, or by polymerization in organic solvents, more preferably in alcoholic solution. The molecular weight can be set in a way which is known to the skilled worker, by polymerization in the presence of molecular weight 25 regulators. The silane-containing vinyl ester polymers are hydrolyzed in a way which is known per se, by the belt or extruder process, for example, or in a stirred tank, in the alkaline or acidic range, with addition of acid or base. After the end of the hydrolysis the 30 solvent is distilled off and the silane-containing polyvinyl alcohol is obtained as a powder. The aqueous solution of the silane-containing polyvinyl alcohols can if desired also be spray-dried and the silanecontaining polyvinyl alcohol recovered as a powder. The 35 preparation of powderous, silane-containing polyvinyl alcohols is described in detail for example

DE-A 10232666. Common drying methods are fluid-bed, thin-film, freeze, and spray drying.

Vinyl esters suitable for the water-redispersible polymer powder b) are those of carboxylic acids having 1 to 15 carbon atoms. Preferred vinyl esters are vinyl acetate, vinyl propionate, vinyl butyrate, vinyl 2ethylhexanoate, vinyl laurate, 1-methylvinyl acetate, and vinyl esters of  $\alpha$ -branched pivalate, monocarboxylic acids having 9 to 13 carbon atoms, 10 example being VeoVa9<sup>R</sup> or VeoVa10<sup>R</sup> (trade names Shell). Particular preference is given to vinyl acetate.

- 15 Suitable methacrylic esters or acrylic esters are esters of unbranched or branched alcohols having 1 to 15 carbon atoms, such as methyl acrylate, methyl methacrylate, ethyl acrylate, ethyl methacrylate, propyl acrylate, propyl methacrylate, n-butyl acrylate, n-butyl acrylate, and norbornyl acrylate. Preference is given to methyl acrylate, methyl methacrylate, n-butyl acrylate, and 2-ethylhexyl acrylate.
- 25 Examples of olefins and dienes are ethylene, propylene, and 1,3-butadiene. Suitable vinyl aromatics are styrene and vinyltoluene. A suitable vinyl halide is vinyl chloride.
- If desired it is possible additionally to copolymerize 30 0.05% to 50% by weight, preferably 1% to 10% by weight, based on the total weight of the base polymer, of auxiliary monomers. Examples of auxiliary monomers are unsaturated monocarboxylic ethylenically preferably acrylic dicarboxylic acids, acid, 35 methacrylic acid, fumaric acid, and maleic acid; carboxamides and ethylenically unsaturated carbonitriles, preferably acrylamide and acrylonitrile;

monoesters and diesters of fumaric acid and maleic acid, such as the diethyl and diisopropyl esters, and anhydride, ethylenically also maleic unsaturated sulfonic acids and their salts, preferably 2-acrylamido-2-5 vinylsulfonic acid, and methylpropanesulfonic acid. Further examples precrosslinking comonomers such as polyethylenically comonomers, example unsaturated an being divinyl diallyl maleate, allyl methacrylate adipate, postcrosslinking 10 triallyl cyanurate, or comonomers, examples being acrylamidoglycolic acid (AGA), methylacrylamidoglycolic acid methyl ester (MAGME), Nmethylolacrylamide (NMA), N-methylolmethacrylamide (NMMA), N-methylolallylcarbamate, alkyl ethers such as the isobutoxy ether or esters of N-methylolacrylamide, 15 N-methylolmethacrylamide, and οf N-methylolallylcarbamate. Also suitable are epoxide-functional comonomers such as glycidyl methacrylate and glycidyl Further examples are silicon-functional acrylate. comonomers, such as acryloyloxypropyltri(alkoxy)- and 20 methacryloyloxypropyltri(alkoxy)-silanes, vinyltrialkoxysilanes, and vinylmethyldialkoxysilanes, examples of alkoxy groups that can be present being methoxy, ethoxypropylene glycol ether radicals. ethoxy, and 25 Mention may also be made of monomers containing hydroxyl or CO groups, examples being methacrylic and acrylic acid hydroxyalkyl esters such as hydroxyethyl, hydroxypropyl or hydroxybutyl acrylate or methacrylate, and also compounds such as diacetoneacrylamide and 30 acetylacetoxyethyl acrylate or methacrylate.

Examples of suitable homopolymers and copolymers are vinyl acetate homopolymers, copolymers of vinyl acetate with ethylene, copolymers of vinyl acetate with ethylene and one or more further vinyl esters, copolymers of vinyl acetate with ethylene and acrylic ester, copolymers of vinyl acetate with ethylene and

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vinyl chloride, styrene-acrylic ester copolymers, and styrene-1,3-butadiene copolymers.

Preference is given to vinyl acetate homopolymers;

5 copolymers of vinyl acetate with 1% to 40% by weight of ethylene;

copolymers of vinyl acetate with 1% to 40% by weight of ethylene and 1% to 50% by weight of one or more further comonomers from the group consisting of vinyl esters having 1 to 12 carbon atoms in the carboxylic acid

10 having 1 to 12 carbon atoms in the carboxylic acid radical such as vinyl propionate, vinyl laurate, and vinyl esters of  $\alpha$ -branched carboxylic acids having 9 to 13 carbon atoms such as VeoVa9, VeoVa10, and VeoVa11;

copolymers of vinyl acetate, 1% to 40% by weight of ethylene, and, preferably, 1% to 60% by weight of acrylic esters of unbranched or branched alcohols having 1 to 15 carbon atoms, especially n-butyl acrylate or 2-ethylhexyl acrylate; and

copolymers with 30% to 75% by weight of vinyl acetate,

1% to 30% by weight of vinyl laurate or vinyl esters of an  $\alpha$ -branched carboxylic acid having 9 to 11 carbon atoms, and 1% to 30% by weight of acrylic esters of unbranched or branched alcohols having 1 to 15 carbon atoms, especially n-butyl acrylate or 2-ethylhexyl

acrylate, which additionally contain 1% to 40% by weight of ethylene;

copolymers with vinyl acetate, 1% to 40% by weight of ethylene, and 1% to 60% by weight of vinyl chloride;

it being possible for the polymers additionally to include the stated auxiliary monomers in the stated amounts, and the figures in % by weight adding up in each case to 100% by weight.

Preference is also given to (meth)acrylic ester polymers, such as copolymers of n-butyl acrylate or 2-ethylhexyl acrylate or copolymers of methyl methacrylate with n-butyl acrylate and/or 2-ethylhexyl acrylate;

styrene-acrylic ester copolymers with one or more monomers from the group consisting of methyl acrylate, ethyl acrylate, propyl acrylate, n-butyl acrylate, and 2-ethylhexyl acrylate;

- vinyl acetate-acrylic ester copolymers with one or more monomers from the group consisting of methyl acrylate, ethyl acrylate, propyl acrylate, n-butyl acrylate, 2-ethylhexyl acrylate, and, if desired, ethylene; styrene-1,3-butadiene copolymers;
- 10 it being possible for the polymers additionally to contain the stated auxiliary monomers in the stated amounts, and the figures in % by weight adding up in each case to 100% by weight.
- The monomer selection and the selection of the weight 15 fractions of comonomers are made so as to result in general in a glass transition temperature Tg of -50°C +120°C, preferably -30°C to +95°C. The glass transition temperature Tg of the polymers can 20 determined in conventional manner by means of differential scanning calorimetry (DSC). The To can also be calculated approximately in advance by means of the Fox equation. According to Fox T.G., Bull. Am. Physics Soc. 1, 3, page 123 (1956) the following applies: 1/Tg = x1/Tg1 + x2/Tg2 + ...25 relationship + xn/Tgn, xn standing for the mass fraction weight/100) of the monomer n, and Tgn being the glass transition temperature in kelvins of the homopolymer of the monomer n. To values for homopolymers are listed in Polymer Handbook, 2<sup>nd</sup> edition, J. Wiley & Sons, New York 30 (1975).

The homopolymers and copolymers are prepared in manner known to the skilled worker, by polymerization, 35 solution polymerization, precipitation polymerization, suspension polymerization, and emulsion given polymerization. Preference is to solution, suspension, and emulsion polymerization.

The polymerization temperature is generally 40°C to 100°C, preferably 60°C to 90°C. In the case of the copolymerization of gaseous comonomers ethylene, 1,3-butadiene or vinyl chloride it is also 5 possible to operate under superatmospheric pressure, between 5 bar and 100 bar. generally at polymerization can be initiated using the customary water-soluble or monomer-soluble initiators or redox initiator combinations. Examples of water-soluble 10 initiators are the sodium, potassium, and ammonium salts of peroxodisulfuric acid, hydrogen peroxide, tbutyl peroxide, potassium peroxodiphosphate, t-butyl peroxopivalate, cumene hydroperoxide, isopropylbenzene monohydroperoxide, and azobisisobutyronitrile. Examples 15 initiators dicetyl monomer-soluble are peroxydicarbonate, dicyclohexyl peroxydicarbonate, dibenzoyl peroxide, tert-butyl peroxyneodecanoate, and tert-butyl tert-butyl peroxy-2-ethylhexanoate, 20 peroxypivalate. The stated initiators are generally in an amount of 0.01% to 10.0% by weight, preferably 0.1% to 0.5% by weight, based in each case on the total weight of the monomers. Redox initiators used are combinations of the stated initiators in combination with reducing agents. Suitable reducing 25 agents are the sulfites and bisulfites of alkali metals and of ammonium, an example being sodium sulfite, the derivatives of sulfoxylic acid such as zinc or alkali metal formaldehyde sulfoxylates, sodium hydroxymethanesulfinate for example, and ascorbic acid. The amount of 30 reducing agent is generally 0.01% to 10.0% by weight, preferably 0.1% to 0.5% by weight, based in each case on the total weight of the monomers.

In the case of the processes of the suspension and emulsion polymerization, stated as being preferred, polymerization takes place in the presence of surfaceactive substances such as protective colloids and/or

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emulsifiers. Examples of suitable protective colloids partially hydrolyzed polyvinyl polyvinylpyrrolidones, polyvinyl acetals, starches, celluloses, and their carboxymethyl, hydroxyethyl, and hydroxypropyl derivatives. Suitable anionic, cationic, and emulsifiers are emulsifiers, examples being anionic surfactants, such as alkyl sulfates having a chain length of 8 to 18 carbon atoms, alkyl or alkylaryl ether sulfates having 8 to 18 carbon atoms in the hydrophobic radical and up to 60 ethylene oxide or propylene oxide units, alkylor alkylaryl sulfonates having 8 to 18 carbon atoms, and monoesters of sulfosuccinic acid esters monohydric alcohols or alkylphenols, or nonionic surfactants such as alkyl polyglycol ethers alkylaryl polyglycol ethers having up to 60 ethylene oxide and/or propylene oxide units.

The monomers can be included in their entirety in the initial charge, metered in in their entirety, or included in fractions in the initial charge, with the remainder metered in after the polymerization has been initiated. The metered feeds can be made separately (in terms of both space and time) or some or all of the components to be metered can be metered in preemulsified form.

After the end of the polymerization it is possible to carry out postpolymerization for the purpose of removing residual monomers, employing known methods: for example, by means of postpolymerization initiated with redox catalyst. Volatile residual monomers can also be removed by means of distillation, preferably under reduced pressure, and, if desired, by passing inert entraining gases such as air, nitrogen or steam through or over the reaction mixture. The aqueous polymer dispersions have a solids content of 30% to 75% by weight, preferably of 50% to 60% by weight.

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To prepare the polymer powders the aqueous dispersions, following addition of protective colloids as spraying aids if desired, are dried, by means of fluid-bed drying, freeze drying or spray drying, for example. The dispersions are preferably spray-dried. Spray drying in this case takes place in customary spray-drying units, it being possible for the atomization to take place by means of single-fluid, two-fluid or multi-fluid nozzles or using a rotating disk. The exit temperature chosen is generally in the range from 45°C to 120°C, preferably 60°C to 90°C, depending on unit, resin Tg, and desired degree of drying.

- 15 In the case of drying to form water-redispersible polymer powders it is usual to use a spraying aid in a total amount of 3% to 30% by weight, based on the polymeric constituents of the dispersion. In other words, the total amount of protective colloid prior to the drying operation should be at least 3% to 30% by weight, based on the polymer fraction; it is preferred to use 5% to 20% by weight based on the polymer fraction.
- 25 Suitable spraying aids are partially hydrolyzed polyvinylpyrrolidones; polyvinyl alcohols; polysaccharides in water-soluble form such as starches amylopectin), celluloses (amylose and and carboxymethyl, methyl, hydroxyethyl, and hydroxypropyl 30 derivatives; proteins such as casein or caseinate, soya protein, gelatin; ligninsulfonates; synthetic polymers such poly(meth)acrylic acid, copolymers as (meth)acrylates with carboxyl-functional comonomer units, poly(meth)acrylamide, polyvinylsulfonic 35 and water-soluble copolymers thereof; melamineformaldehydesulfonates, naphthalene-formaldehydesulfonates, styrene-maleic acid copolymers, and vinyl ether-maleic acid copolymers. Preference is given to

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using no protective colloids other than polyvinyl alcohols as spraying aids.

In the course of the spraying operation an amount of up to 1.5% by weight of antifoam, based on the base polymer, has proven favorable in numerous instances. In order to increase the shelf life by improving the blocking stability, particularly in the case of powders with a low glass transition temperature, the powder obtained can be furnished with an antiblocking (anticaking) agent, up to 3 times the amount, but preferably up to 30% by weight, based on the total weight of polymeric constituents. Examples antiblocking agents are Ca and Mg carbonate, talc, gypsum, silica, kaolins, and silicates having particle sizes preferably in the range from 10 nm to 10  $\mu$ m.

The viscosity of the spray feed is adjusted via the solids content so as to give a value of < 500 mPas (Brookfield viscosity at 20 revolutions and 23°C), preferably < 250 mPas. The solids content of the dispersion for spraying is > 15%, preferably > 25%.

The powderous coating material composition can be produced by mixing the powderous components a) and b). In one preferred embodiment the powderous coating material composition is produced by jointly drying the aqueous solution of the silane-containing polyvinyl alcohol a) and the aqueous polymer dispersion of polymer b), following addition of protective colloid if appropriate, said joint drying taking place with particular preference by a spray-drying process.

Components a) and b) are used in a weight ratio (solids/solids) of 99:1 to 1:99, preferably of 95:5 to 20:80, more preferably of 85:15 to 50:50, and most preferably of 60:40 to 30:70.

polyamidoamines.

The products produced in accordance with the invention are used in formulas for coating substrate materials, comprising paper or polymeric film, for recording materials, examples being ink-jet paper and ink-jet foils. Examples of suitable substrate materials are paper, polymer-coated paper, such as polyethylene-, polypropylene- or PET-coated paper, and cellulose acetate foils, polyester foils such as PETpolycarbonate, polyolefin, polyimide, polyamide, acetal, polyether, polyamide, polyvinyl and polysulfonamide foils.

Besides components a) and b) the coating materials may if desired include further binders and additives for the formulation of coatings for substrate materials for ink-jet recording materials, or may be used in conjunction with further binders and additives for formulating coatings for substrate materials for ink-jet recording materials.

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Typical further additives and binders are the following:

polydiallyldimethylcationic dispersants such as chloride, benzyldimethylstearylammonium ammonium 25 chloride, dimethylstearylammonium chloride, copolymers dimethylamine-epichlorohydrin, with acrylamide, polyoxyalkyl-polyamine-dicyanodiamine, dimethylaminomodified ethyl methacrylate (MADAME) polymers, chloride methacryloyloxyethyltrimethylammonium 30 (MADQUAT) modified polymers, cationic cellulose derivatives, cationic starch derivatives, cationic polyvinyl alcohols, polyethylenimine and its copolymers, compounds with trivalent aluminum ions, boron ions, and zinc ions, triethanolamine-titanium 35 chelate complex, copolymers of vinylpyrrolidones, and

Binders such as hydroxyethylcellulose, starch, gelatin, polyvinylpyrrolidones, dextran, polyethylene glycols, agar, carrageenan, and xanthan. Plasticizers such as ethylene glycol, polyethylene 5 glycol, propylene glycol, glycol, glycerol monomethyl ether, glycerol glycerol, ethylene carbonate, propylene monochlorohydrin, carbonate, tetrachlorophthalic anhydride, tetrabromophthalic anhydride, urea, urea phosphate, triphenyl phosphate, glycerol monostearate, propylene 10 monostearate, tetramethylene sulfone, N-methyl-2pyrrolidone, N-vinyl-2-pyrrolidone, and sorbitol. Pigments and fillers such as silica, calcium carbonate, clay, bentonite, alumina, titanium oxide.

15 Defoamers and dispersing assistants such as polydiallyldimethylammonium chloride, benzyldimethylstearylammonium chloride, and dimethylstearylammonium chloride, and also

leveling agents, shading dye, wetting agents, viscosity stabilizers, pH regulators, curing agents, UV absorbers, oxidation inhibiter, optical brighteners, and dye-fixing agents.

The formulas for coating slips for paper and polymeric substrate materials are known to the skilled worker. To prepare the coating slips the pulverulent coating material composition is taken up together or in separate steps with the other constituents of the formula in the requisite amount of water.

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Examples:

Paper coating:

# 35 Example 1:

Product from joint spray drying of 70% by weight (solids) of a silane-containing fully hydrolyzed polyvinyl alcohol having a Höppler viscosity of 6 mPas

(4% strength in water) and 30% by weight (solids) of a polyvinyl alcohol-stabilized, functionalized polyvinyl acetate dispersion, having a particle size of 1  $\mu$ m, a solids content of 45%, and a Brookfield viscosity of 500 mPas (20 rpm).

## Example 2:

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As in Example 1, the silane-containing polyvinyl alcohol having a Höppler viscosity of 10 mPas (4% strength in water).

## Example 3:

Powder-powder mixture in a weight ratio of 70:30 of a silane-containing fully hydrolyzed polyvinyl alcohol having a Höppler viscosity of 20 mPas (4% strength in water) and a redispersible polymer powder, prepared from a polyvinyl alcohol-stabilized, functionalized polyvinyl acetate dispersion, having a particle size of 1 µm, a solids content of 45%, and a Brookfield viscosity of 500 mPas (20 rpm).

### Example 4:

As in Example 1, with additionally a cationic dispersant being added to the feed for spray drying.

The cationic dispersant was admixed in a weight ratio of component a) + b) to dispersant of 40:4. The product was employed in the coating slip with 45 parts by weight of powder mixture and without additional cationic dispersant.

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## Comparative example 5:

Commercial silane-containing polyvinyl alcohol having a Höppler viscosity of 25 mPas (4% strength in water).

# 35 Comparative example 6:

Redispersible polymer powder produced from a polyvinyl alcohol-stabilized, functionalized polyvinyl acetate dispersion, having a particle size of 1  $\mu m$ , a solids

content of 45%, and a Brookfield viscosity of 500 mPas (20 rpm).

# Comparative example 7:

5 Mixture of an aqueous, 11% strength by weight solution of a silane-containing, fully hydrolyzed polyvinyl alcohol having a Höppler viscosity of 20 mPas (4% strength in water) and a 45% by weight polyvinyl alcohol-stabilized functionalized polyvinyl acetate dispersion, having a particle size of 1  $\mu$ m and a Brookfield viscosity of 500 mPas (20 rpm).

The products from the inventive and comparative examples were tested in the following formula for papercoating slips:

Precipitated silica 100 parts by weight Mixture from inv./comp. example 40 parts by weight Cationic dispersant 5 parts by weight

20 Coating slip solids content: 30%

### Base paper:

Sized paper at 80 g/m<sup>2</sup>; coatweight 15 g/m<sup>2</sup>

## 25 Test methods:

## Scrub test:

A coated paper strip 4.5 cm wide and 19 cm long was exposed to 50 side-to-side strokes in a scrub tester from Prüfbau (system Dr. Dürner) with a black drawing paper applied to a stamp (500 g).

The resulting black paper was then assessed visually, the rating 1 (= 100% black; no abrasion) representing the optimum.

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## Brightness:

The brightness was determined by means of reflectance measurement using a filter (R 457) and was assessed visually, the rating 1 representing the optimum.

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#### Test results:

Coat	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Comp.	Comp.	Comp.
analysis					ex. 5	ex. 6	ex. 7
Scrub test	1 .	1	1	1	2	5	*)
Brightness R457	5.1	5.2	5.1	5.1	5.6	12.3	*)

\*) With the solutions employed it was not possible to prepare a coating slip having a solids content of 30% by weight. A solution of the modified polyvinyl alcohol at higher concentration was no longer stable on storage.

## 15 Coating plastics:

#### Example 8:

Product from joint spray drying of 42% by weight (solids) of a silane-containing fully hydrolyzed polyvinyl alcohol having a Höppler viscosity of 6 mPas (4% strength in water) and 58% by weight (solids) of a polyvinyl alcohol-stabilized, functionalized polyvinyl acetate dispersion, having a particle size of 1 µm, a solids content of 45%, and a Brookfield viscosity of 500 mPas (20 rpm).

#### Example 9:

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As in Example 8, the silane-containing polyvinyl alcohol having a Höppler viscosity of 10 mPas (4% strength in water).

#### Example 10:

Powder-powder mixture in a weight ratio of 42:58 of a silane-containing fully hydrolyzed polyvinyl alcohol

having a Höppler viscosity of 20 mPas (4% strength in water) and a redispersible polymer powder, prepared from a polyvinyl alcohol-stabilized, functionalized polyvinyl acetate dispersion, having a particle size of 1  $\mu$ m, a solids content of 45%, and a Brookfield viscosity of 500 mPas (20 rpm).

#### Example 11:

As in Example 8, with additionally a cationic dispersant being added to the feed for spray drying. The cationic dispersant was admixed in a weight ratio of component a) + b) to dispersant of 85:8. The product was employed in the coating slip with 93 parts by weight of powder mixture and without additional cationic dispersant.

# Comparative example 12:

Commercial silane-containing polyvinyl alcohol having a Höppler viscosity of 10 mPas (4% strength in water).

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# Comparative example 13:

Redispersible polymer powder produced from a polyvinyl alcohol-stabilized, functionalized polyvinyl acetate dispersion, having a particle size of 1  $\mu m$ , a solids content of 45%, and a Brookfield viscosity of 500 mPas (20 rpm).

#### Comparative example 14:

Mixture of an aqueous, 11% strength by weight solution of a silane-containing, fully hydrolyzed polyvinyl alcohol having a Höppler viscosity of 20 mPas (4% strength in water) and a 45% by weight polyvinyl alcohol-stabilized functionalized polyvinyl acetate dispersion, having a particle size of 1  $\mu$ m and a Brookfield viscosity of 500 mPas (20 rpm).

The products from the inventive and comparative examples were tested in the following formula for coating slips:

5 Precipitated silica 100 parts by weight Mixture from inv./comp. example 85 parts by weight Cationic dispersant 8 parts by weight Coating slip solids content: 30%

#### 10 Substrate:

PET film with adhesion promoter at 90  $g/m^2$ ; coatweight 15  $g/m^2$ 

Test methods:

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## Scrub test:

A coated film strip 4.5 cm wide and 19 cm long was exposed to 50 side-to-side strokes in a scrub tester from Prüfbau (system Dr. Dürner) with a black drawing paper applied to a stamp (500 g).

The resulting black paper was then assessed visually, the rating 1 (= 100% black; no abrasion) representing the optimum.

### 25 Brightness:

The brightness was determined by means of reflectance measurement using a filter (R 457) and was assessed visually, the rating 1 representing the optimum.

#### 30 Test results:

Coat	Ex. 8	Ex. 9	Ex. 10	Ex. 11	Comp.	Comp.	Comp.
analysis					ex. 12	ex. 13	ex. 14
Scrub test	1	1	1	1	3	5 .	*)
Brightness R457	5.4	5.3	5.2	5.3	7.5	11.8	*)

\*) With the solutions employed it was not possible to prepare a coating slip having a solids content of 30%

- 23 -

by weight. A solution of the modified polyvinyl alcohol at higher concentration was no longer stable on storage.

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